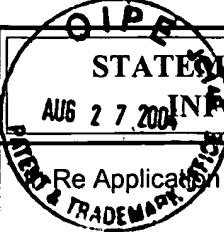


1773

<div style="display: flex; justify-content: space-between;"> <div style="text-align: center;">  </div> <div> STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT </div> </div>	Docket No. 08CN8803-25
Re Application Of: John E. Davis, et al.	

Application No. 09/683,114	Filing Date November 20, 2001	Examiner K. Bernatz	Customer No. 23413	Group Art Unit 1773	Confirmation No. 9349
-------------------------------	----------------------------------	------------------------	-----------------------	------------------------	--------------------------

Invention: **DATA STORAGE MEDIA**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



 Signature

Pamela J. Curbelo
 Registration No. 34,676
 Customer No. 23413
 (860) 286-2929

Dated: August 25, 2004

Certificate of Mailing by First Class Mail

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] August 25, 2004

(Date)

 Signature of Person Mailing Correspondence

Sonia Mancini

Typed or Printed Name of Person Mailing Correspondence

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(d))

Docket No.
08CN8803-25

In Re Application Of **John E. Davis, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/683,114	November 20, 2001	K. Bernatz	23413	1773	9349

Title: **DATA STORAGE MEDIA**

Address to:
Commissioner for Patents

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

- ☐ A check in the amount of _____ is attached.
- ☒ Charge Deposit Account No. **07-0862** in the amount of **\$180.00**
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F
_____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

*This certificate may only be used if paying by deposit account.

Pamela J. Curbelo
Signature

Pamela J. Curbelo
Registration No. 34,676
Customer No. 23413
(860) 286-2929

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 (August 25, 2004).
_____ (Date)
<i>Sonia Mancini</i> Signature of Person Mailing Correspondence
Sonia Mancini Typed or Printed Name of Person Mailing Certificate

Dated: **August 25, 2004**

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John E. Davis, et al.)
) Group Art Unit: 1773
 Serial No.: 09/683,114)
) Examiner: K. Bernatz
 Filing Date: November 20, 2001)
)
 For: DATA STORAGE MEDIA)
)

Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware.

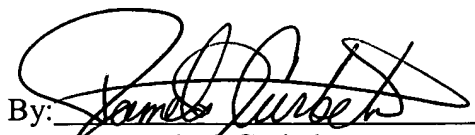
The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

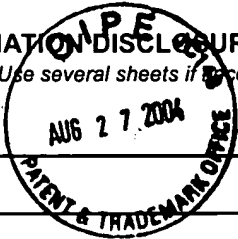
08/30/2004 RHEBRAHT 00000016 070862 09683114

01 FC:1806 180.00 DA

By: 
 Pamela J. Curbelo
 Registration No. 34,676

Date: August 25, 2004
 Customer No.: 23413
 Telephone: (860) 286-2929

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 08CN8803-25	APPLICATION NO. 09/683,114
	APPLICANT(S) John E. Davis, et al.	
	FILING DATE November 20, 2001	GROUP ART UNIT 1773



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	4,870,429	09/26/1989	Fujita, et al.	346	137	03/02/1987
	2	5,968,627	10/19/1999	Nigam, et al.	428	65.3	01/15/1998
	3	6,096,419	08/01/2000	Ito, et al.	428	336	11/24/1998
	4	6,146,755	11/14/2000	Guha, et al.	428	332	10/15/1998
	5	6,154,438	11/28/2000	O'Hollaren, et al.	369	275.3	06/19/1998

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS


	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
6	DE 4326296 A1	02/24/1994	Germany			✓	
7	JP 63205817	08/25/1988	Japan			✓	

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

8	HTML document titled "FLEXURAL PROPERTIES", copyright 1995-2004, (http://www.dow.com/styron/design/guide/flexural.htm), 1 pg

EXAMINER	DATE CONSIDERED
-----------------	------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

 <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>	ATTY DOCKET NO. 08CN8803-25	APPLICATION NO. 09/683,114
	John E. Davis, et al.	
	FILING November 20, 2001	GROUP ART 1773

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	9	6,335,843	01/01/2002	Yotsuya, et al.	360	60	05/19/1998

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			

EXAMINER	DATE CONSIDERED
-----------------	------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.